

| Substitute for Form 1449A/PTO (Modified)<br>(use as many sheets as necessary) |                          |                             | Attorney Docket No.:<br>004085.P030X            |  | Application Number:<br>Not yet assigned                |  |
|---|--------------------------|-----------------------------|---|--|--|--|
|   |                          |                             | First Named Inventor:<br>Christopher H. Bajorek |  |  |  |
|   |                          |                             | Filing Date: Herewith                           |  |  |  |
| <b>U.S. PATENT DOCUMENTS</b>  |                          |                             |   |  |  |  |
| Exam.<br>Initial*   | Cite<br>No. <sup>1</sup> | U.S. Patent Document        |   | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear |
|   |                          | Number<br>Code <sup>2</sup> | Kind<br>(If known)                              |  |  |  |
| MD  |                          | 4,770,739                   |   | Orvek et al.                                       | 9/13/88  |  |
|   |                          | 4,931,351                   |   | McColgin et al.                                    | 6/5/90   |  |
|   |                          | 5,018,037                   |   | Krounbi et al.                                     | 5/21/91  |  |
|   |                          | 5,045,150                   |   | Cleeves et al.                                     | 9/3/91   |  |
|   |                          | 5,045,165                   |   | Yamashita  | 9/3/91   |  |
|   |                          | 5,091,047                   |   | Cleeves et al.                                     | 2/25/92  |  |
|   |                          | 5,219,788                   |   | Abernathey et al.                                  | 6/15/93  |  |
|   |                          | 5,259,926                   |   | Kuwabara et al.                                    | 11/9/93  |  |
|   |                          | 5,290,397                   |   | Ober et al.  | 3/1/94   |  |
|   |                          | 5,318,877                   |   | Ober et al.  | 6/7/94   |  |
| MD  |                          | 5,320,934                   |   | Misium et al.                                      | 6/14/94  |  |

| <b>FOREIGN PATENT DOCUMENTS</b> |                          |  |                     |                    |  |  |  |                |
|---------------------------------|--------------------------|--|---------------------|--------------------|--|--|--|----------------|
| Exam.<br>Initial*               | Cite<br>No. <sup>1</sup> | Foreign Patent Document                  |                     |                    | Name of Patentee or Applicant<br>of Cited Document | Date of<br>Publication of<br>Cited<br>Document<br>MM-DD-<br>YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear | T <sup>6</sup> |
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|                                 |                          |  |                     |                    |  |  |  |                |

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|-----------------------|-------------------|-----------------|------------|
| Examiner<br>Signature | /Matthew Daniels/ | Date Considered | 06/14/2006 |
|-----------------------|-------------------|-----------------|------------|

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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|   | Filing Date: Herewith                           |   |

### U.S. PATENT DOCUMENTS

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|----------------|-----------------------|----------------------|--------------------------------------|---|--|---|
|                |                       | Number               | Kind Code <sup>2</sup><br>(If known) |   |  |   |
| MD             |                       | 5,455,145            |                                      | Tarumoto  | 10/3/95  |   |
|                |                       | 5,985,524            |                                      | Allen et al.                                    | 11/16/99   |   |
|                |                       | 6,019,930            |                                      | Baresich  | 2/1/00   |   |
|                |                       | 6,086,730            |                                      | Liu et al.                                      | 7/11/00  |   |
|                |                       | 6,210,855            |                                      | Ueda et al.                                     | 4/3/01   |   |
|                |                       | 6,218,056            |                                      | Pinarbasi et al.                                | 4/17/01  |   |
|                |                       | 6,276,656            |                                      | Baresich  | 8/21/01  |   |
|                |                       | 6,383,944            |                                      | Furihata et al.                                 | 5/7/02   |   |
|                |                       | 6,440,646            |                                      | Ueda et al.                                     | 8/27/02  |   |
|                |                       | 6,518,189            |                                      | Chou  | 2/11/03  |   |
| ✓              |                       | 20030071016          |                                      | Shih et al.                                     | 4/17/03  |   |
| MD             |                       | 20020025408          |                                      | Davis   | 2/28/02  |   |

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|   |                         | First Named Inventor:<br>Christopher H. Bajorek  |   |
|   |                         | Filing Date: Herewith  |   |
| <b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>                             |                         |  |   |
| Examiner<br>Initials*   | Cite<br>No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-<br>issue number(s), publisher, city and/or country where published | Translation <sup>2</sup>                |
| MD  |                         | Brian Faircloth et al. "Bilayer, nanoimprint lithography", J. Vac. Sci. Technol. B 18(4),<br>Jul/Aug 2000, 2000 American Vacuum Society, p. 1-8.   |   |
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|   |                          |                             | Filing Date: Herewith                           |  |  |  |
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|   |                          | Number<br>Code <sup>2</sup> | Kind<br>(If known)                              |  |  |  |
| MD  |                          | 6,518,189                   |   | Chou   | 2/11/03  |  |
| MD  |                          | 6,482,742                   |   | Chou   | 11/19/02   |  |
| MD  |                          | 6,381,090                   |   | Shoji Suzuki et al.                                | 4/30/02  |  |
| MD  |                          | 6,309,580                   |   | Chou   | 10/30/01   |  |
| MD  |                          | 6,150,015                   |   | Gerardo Bertero, et al.                            | 11/21/00   |  |
| MD  |                          | 5,956,216                   |   | Chou   | 9/21/99  |  |
| MD  |                          | 5,820,769                   |   | Chou   | 10/13/98   |  |
| MD  |                          | 5,772,905                   |   | Chou   | 6/30/98  |  |
| MD  |                          | 5,537,282                   |   | Treves et al.                                      | 7/16/96  |  |


| <b>FOREIGN PATENT DOCUMENTS</b> |                          |  |                     |                    |  |  |  |                |
|---------------------------------|--------------------------|--|---------------------|--------------------|--|--|--|----------------|
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|                                 |                          | Office <sup>3</sup><br>Code <sup>5</sup> | Number <sup>4</sup> | Kind<br>(If known) |  |  |  |                |
| MD                              |                          | PCT                                      | WO 00/48172         |                    | General Electric Company                           | 8/17/00  |  |                |

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|-----------------------|-------------------|-----------------|------------|
| Examiner<br>Signature | /Matthew Daniels/ | Date Considered | 06/14/2006 |
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|----------------|-----------------------|----------------------|--------------------------------------|---|--|---|
|                |                       | Number               | Kind Code <sup>2</sup><br>(If known) |   |  |   |
| MD             |                       | 2003/0034329         |                                      | Chou  | 2/20/03  |   |
| MD             |                       | 2002/0042027         |                                      | Chou et al.                                     | 4/11/02  |   |
| MD             |                       | 2002/0071214         |                                      | Karl A. Belser                                  | 6/13/02  |   |
| MD             |                       | 2002/0132482         |                                      | Chou  | 9/19/02  |   |
| MD             |                       | 2002/0135939         |                                      | Yoshimitus Wada                                 | 9/26/02  |   |
| MD             |                       | 2002/0136927         |                                      | Hiroyuki Hieda, et al.                          | 9/26/02  |   |
| MD             |                       | 2002/0167117         |                                      | Chou  | 11/14/02   |   |

### FOREIGN PATENT DOCUMENTS

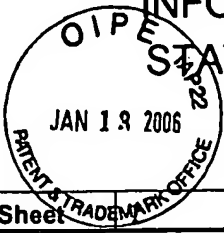

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|                |                       |                                       |                     |                    |   |  |   |                |

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| Substitute for Form 1449/PTO<br><br><div style="text-align: center;">  <h2 style="margin: 0;">INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</h2> <p style="margin: 0;">(use as many sheets as necessary)</p> </div> |                       |  |                               | <b>Complete if Known</b>       |  |  |
|---|-----------------------|--|-------------------------------|--------------------------------|--|--|
|   |                       | Application Number                       | 10/659,006                    |                                |  |  |
|   |                       | Filing Date                              | 9/9/03                        |                                |  |  |
|   |                       | First Named Inventor:                    | Christopher H. Bajorek et al. |                                |  |  |
|   |                       | Art Unit                                 | 2652                          |                                |  |  |
|   |                       | Examiner Name                            | Not yet assigned              |                                |  |  |
|   |                       | Attorney Docket Number                   | 004085.P030X                  |                                |  |  |
| Sheet   |                       | of                                       | 2                             |                                |  |  |
| <b>U.S. PATENT DOCUMENTS</b>  |                       |  |                               |                                |  |  |
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|   |                       | Number-Kind Code <sup>2</sup> (if known) |                               |                                |  |  |
|    |                       | US-                                      | 4,571,320                     | 2/86                           | Walker   |  |
|   |                       | US-                                      | 5,295,802                     | 3/94                           | Hersbt   |  |
|   |                       | US-                                      | 6,281,679                     | 8/01                           | King et al.  |  |
|   |                       | US-                                      | 6,705,853                     | 3/04                           | Nehring  |  |
|   |                       | US-                                      | 6,939,120                     | 9/05                           | Harper   |  |
|   |                       | US-                                      | 5,413,018                     | 5/9/95                         | Wada   |  |
|   |                       | US-                                      | 5,427,599                     | 6/27/95                        | Greschner et al.                                   |  |
|   |                       | US-                                      | 5,493,959                     | 2/27/96                        | Yagi et al.  |  |
|   | MD                    | US-                                      | 6,242,718                     | 6/5/01                         | Ferro et al.                                       |  |
|   |                       | US-                                      |                               |                                |  |  |
|   | US-                   |  |                               |                                |  |  |

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|                          |                       | Country Code <sup>3</sup> | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |                                |  |   |                |
| MD                       |                       | JP                        | 01256036A           |                                      | 10/12/89                       | Toshiba Corp.                                      |   |                |
|                          |                       |                           |                     |                                      |                                |  |   |                |
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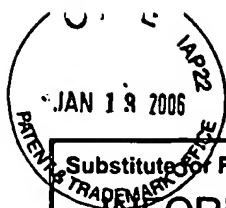
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|--------------------|-------------------|-----------------|------------|
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitute Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

## Complete if Known

|                        |                               |
|------------------------|-------------------------------|
| Application Number     | 10/659,006                    |
| Filing Date            | 9/9/03                        |
| First Named Inventor:  | Christopher H. Bajorek et al. |
| Art Unit               | 2652                          |
| Examiner Name          | Not yet assigned              |
| Attorney Docket Number | 004085.P030X                  |

Sheet 2 of 2

## NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|--------------------|----------------------|--|----------------|
| MD                 |                      | Patent Abstracts of Japan, Publication No. 01-256036, publication date 10/12/89.   |                |
| MD                 |                      | H. Schulz et al., "New polymer materials for nanoimprinting", J. Vac. Sci. Technol. B 18(4), July/August 2000, pp. 1861-1865.  |                |
| MD                 |                      | M.J. Carey and A.E. Berkowitz, "Exchange anisotropy in coupled films of Ni <sub>81</sub> Fe <sub>19</sub> with NiO and Co <sub>x</sub> Ni <sub>1-x</sub> O.  |                |
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English Translation is attached.

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| Substitute for Form 1449A/PTO (Modified)<br>(use as many sheets as necessary)    | Attorney Docket No.:<br>4085.P030X              | Application Number:<br>10/659,006 |
|  | First Named Inventor:<br>Christopher H. Bajorek |                                   |
|  | Filing Date: 9/9/03                             |                                   |

### U.S. PATENT DOCUMENTS

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>of Cited Document<br>MM-DD-YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear |
|-------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
|                   |                          | Number               | Kind Code <sup>2</sup><br>(If known) |  |  |  |
| MD                |                          | 2002/0177319         |                                      | Chou   | 11/28/02   |  |
| MD                |                          | 2003/0080471         |                                      | Chou   | 5/1/03   |  |
| MD                |                          | 2003/0080472         |                                      | Chou   | 5/1/03   |  |
| MD                |                          | 2003/0170995         |                                      | Chou   | 9/11/03  |  |
| MD                |                          | 2003/0170996         |                                      | Chou   | 9/11/03  |  |
|                   |                          |                      |                                      |  |  |  |
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### FOREIGN PATENT DOCUMENTS

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | Foreign Patent Document                  |                     |                    | Name of Patentee or Applicant<br>of Cited Document | Date of<br>Publication of<br>Cited<br>Document<br>MM-DD-<br>YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear | T <sup>6</sup> |
|-------------------|--------------------------|--|---------------------|--------------------|--|--|--|----------------|
|                   |                          | Office <sup>3</sup><br>Code <sup>5</sup> | Number <sup>4</sup> | Kind<br>(If known) |  |  |  |                |
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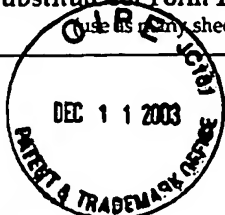
|                       |                   |                 |            |
|-----------------------|-------------------|-----------------|------------|
| Examiner<br>Signature | /Matthew Daniels/ | Date Considered | 06/14/2006 |
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### U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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|                |                       |                      |                                      |   |  |   |
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|----------------|-----------------------|--|---------------------|--------------------|---|--|---|----------------|
|                |                       | Office <sup>3</sup><br>Code <sup>5</sup> | Number <sup>4</sup> | Kind<br>(If known) |   |  |   |                |
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|--------------------|-------------------|-----------------|------------|
| Examiner Signature | /Matthew Daniels/ | Date Considered | 06/14/2006 |
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